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August 23, 2001

**TO: BARBARA A. CAMPBELL  
GROUP: PCT****FAX NUMBER: 703-305-3230****ATTORNEY DOCKET NO: DEX-0188****SERIAL NO: 09/806,301****FILED: March 29, 2001****NUMBER OF PAGES: -3-  
(including this sheet)**

**MESSAGE:** As per our discussion, attached is a copy of the date-stamped postcard from the PCT/PTO acknowledging receipt of the substitute CRF of the Sequence Listing (diskette) and accompanying Statement, in compliance with the initial Notification to Comply of June 11, 2001. A copy of the Notification to Comply and Defective Response dated August 13, 2001, is also attached for your reference. If you have any questions or require anything further, please contact Kathleen A. Tyrrell at 856-810-1515.

**Susan M. Heritage, Paralegal  
to Kathleen A. Tyrrell**

**URGENT! PLEASE DELIVER IMMEDIATELY UPON  
RECEIPT. THANK YOU!**

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If you have any questions, or did not receive the proper number of pages, or had trouble during transmission, please call 856-810-1515.

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RECEIVED BY THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

Paper: Response to Notification to Comply with  
Requirements (in duplicate); copy of  
Notification to Comply; Statement to  
Support Filing and Submission in  
Accordance with 37 CFR §§1.821-1.825; and  
diskette containing computer readable copy  
of Sequence Listing.

Applicant(s): Roberto A. Macina  
Serial No.: 09/806,301  
Filed: March 29, 2001  
Docket No.: DEX-0188  
Date Sent: August 6, 2001



JC20 Rec'd PCT/PTO 0 8 AUG 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
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U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/806301	MACINA	R DEX-0188
INTERNATIONAL APPLICATION NO.		
PCT/US99/03252		
FILED DATE	PRIORITY DATE	
05 OCT 98	05 OCT 98	

JANE MASSEY LICATA  
LICATA & TYRRELL  
88 EAST MAIN STREET  
MARLTON, NJ 08053

DATE MAILED: 13 AUG 2001

## NOTIFICATION OF A DEFECTIVE RESPONSE

- ☐ The request for an extension of time (37 CFR 1.136(a)) filed \_\_\_\_\_ is defective because the required fee is missing/insufficient. Extension of time fees are listed at 37 CFR 1.17(a)(1)-(a)(5).
- ☐ Applicant's response filed \_\_\_\_\_ was received in the Office after the expiration of the period for response set in the Office notification mailed \_\_\_\_\_. This application will become abandoned unless applicant obtains an extension of time to reply to the last Office notification under 37 CFR 1.136(a).
- ☒ Applicant's response filed JULY 27, 2001 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS (Form PCT/DO/EO/905) mailed JUNE 11, 2001 have not been completed.

- ☐ Translation of the international application into English.
  - ☐ which is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ Processing fee (37 CFR 1.492(f)).
- ☐ Oath or Declaration of inventor(s).
  - ☐ not in compliance with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☐ Surcharge (37 CFR 1.492(e)).
- ☒ Sequence Listing.
  - ☒ not in compliance with 37 CFR 1.821-1.825 for the reasons indicated on the attached PCT/DO/EO/920.
- ☐ Additional claim fees.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements (Form DO/EO/905), whichever is the longer. No extension of this time limit may be granted under 37 C.F.R. § 1.136, but the period for response set in the Notification of Missing Requirements (Form DO/EO/905) may be extended under 37 C.F.R. § 1.136(a).

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation  
☒ PCT/DO/EO/920

Barbara A. Campbell

Telephone: 703-305-3631

FORM PCT/DO/EO/916 (March 2001)



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
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U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/806301	MACINA	DEX-0188

INTERNATIONAL APPLICATION NO.
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PCT/US99/03252

I.A. FILING DATE	PRIORITY DATE
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05 OCT 99

05 OCT 98

DATE MAILED:

13 AUG 2001

 9-13-01  
 (MAY) 2-13-02

**NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant has submitted papers under 35 U.S.C. 371 to enter the national stage in the United States of America. The items indicated below, however, are missing. The period within which to correct the deficiency noted below and avoid abandonment is set forth in the accompanying Notification.

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- ☒ The application fails to comply with the requirements of 37 CFR 1.821-1.825.
- ☐ This application does not contain, a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
- ☒ A copy of the "Sequence Listing" in computer readable format has not been submitted as required by 37 CFR 1.821(e).
- ☐ A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- ☐ The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- ☐ The paper copy or compact disc of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(c).
- ☐ Other: \_\_\_\_\_

**APPLICANT MUST PROVIDE:**

- ☒ An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- ☐ An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- ☒ A statement that the contents of the paper or compact disc and the computer readable form, are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

**FOR QUESTIONS REGARDING COMPLIANCE WITH THESE REQUIREMENTS, PLEASE CALL:**

(703) 308-4216, for Rules interpretation,  
 (703) 308-4212, for CRF submission help,  
 (703) 287-0200, for PatentIn software help.

Barbara A. Campbell  
 Telephone: 703-305-3631

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\*\*\* RX REPORT \*\*\*  
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